# **ESC Heart & Brain Workshop**

Stroke prevention:

Patent foramen ovale closure

Prof. Markus Schwerzmann, University Hospital Inselspital, Bern, CH

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### **Declaration of Interest**

Nothing to disclose



## Cryptogenic stroke<sup>1</sup>

- Ca. 25% (10-40%) of patients with ischemic stroke have no probable cause found after standard workup (TTE, 24-hour Holter monitoring, MRI or CT of of the infarct in the brain / neck and brain arteries, blood work).
- Embolic strokes of undetermined source (nonlacunar brain infarcts without substantial proximal arterial stenosis or major cardioembolic sources) represent 80 to 90% of all cryptogenic ischemic strokes.
- Occult, low-burden, paroxysmal atrial fibrillation is increasingly recognized as a source of cryptogenic stroke, especially in older patients (>60 y. of age).
- Low risk of recurrence with aspirine: 1-2% per year.



# Cryptogenic stroke (CS) is a diagnosis of exclusion

Conventional classification:

Atherosclerotic

Small arterial occlusion

Cardioembolic

Other causes

Cryptogenic

Potential etiologies of CS:

Paroxysmal atrial fibrillation

Aortic arch atheromas

Inherited thrombophilias

Patent foramen ovale



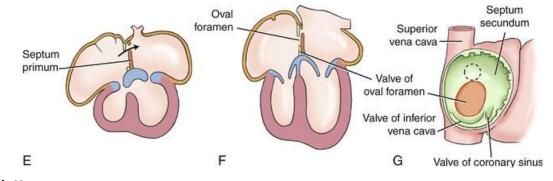
## Patent foramen ovale (PFO)

 Persistent opening between the atrial septum primum and secundum at the level of the fossa ovalis

Prevalence: 27.3%<sup>1</sup>

Mean size ca. 5 mm

- Larger shunt size:
  - atrial septal aneurym
  - prominent valvula Eustachii





### PFO and stroke

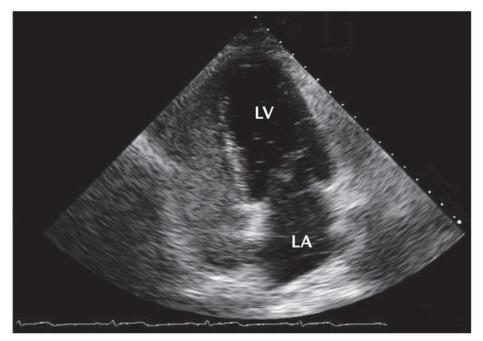
#### **NEJM 1988<sup>1</sup>**

- 60 adults < 55 years with ischemic stroke and normal cardiac exam
- PFO prevalence
  - controls: 10%
  - stroke with identif. cause:

21%

- stroke with risk factor: 40%
- stroke without identif. cause:

54%





### PFO closure and stroke: 1992-2016

#### Circulation 1992<sup>1</sup>

- Case series of 36 patients with presumed paradoxical embolism (strokes, TIAs, systemic arterial emboli, brain abscesses)
- Transcatheter closure can be accomplished with little morbidity

#### **Clinical trials**

- CLOSURE: N Engl J Med. 2012;366:991-9
- PC: N Engl J Med.
  2013;368:1083-91
- RESPECT: N Engl J Med. 2013;368:1092-10

None of the trials showed superiority of PFO closure vs. medical therapy in the prevention of recurrent vascular events.



### PFO and stroke

#### RoPE score 2013<sup>1</sup>

- Age, cortical infarct, nonsmoker, first event, no diabetes nor hypertension
- Score 10: 29 y. old with cortical infarction and no CV risk factor
- Score 0: 70 y. old smoker with hypertension, diabetes, prior stroke and no cortical infraction

ROPE Score	PFO %	Attrib. Risk	Recurr. Rate @2y
0-3	23	0	20 (12-28)
4	35	38	12 (6-18)
6	47	62	8 (4-12)
8	67	84	6 (2-10)
9-10	73	88	2 (0-4)



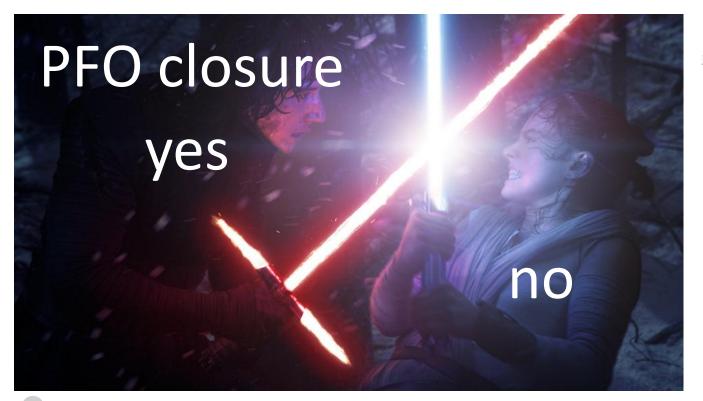
### PFO and stroke – what have learned so far

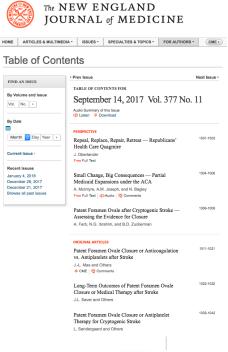
- Paradoxical embolism can lead to stroke but is usually a diagnosis of presumption
- There are "incidental" PFOs and there are "dangerous" PFOs
- 2014 AHA stroke prevention guidelines: For patients with a cryptogenic ischemic stroke or TIA and a PFO without evidence for DVT, available data do not support a benefit for PFO closure (Class III; Level of Evidence A).
- Study design matters: identification of "dangerous" PFOs, length of f/u, not all devices are performing equally well



<sup>1.</sup> Kernan WN, et al. Guidelines for the prevention of stroke in patients with stroke and transient ischemic attack: a guideline for healthcare professionals from the American Heart Association/American Stroke Association. *Stroke*. 2014;45:2160-23

### PFO closure and stroke – a new era begins (2017)





Stroke

	RESPECT ext. f/u (n=980; 46 y.)	CLOSE (n=664; 43y.)	REDUCE (n=664; 45 y.)
Design	<ul><li>Event driven</li><li>1:1 rand.</li><li>Device vs. medical therapy</li></ul>	<ul><li>900 pts.</li><li>1:1:1</li><li>Antiplatelet vs. OAC vs. device</li></ul>	<ul><li>N=664</li><li>2:1</li><li>Device + ASA vs. antiplatelet</li></ul>
Follow-up	• 5.9 y (IQR 4.2-8y)	• 5 +/- 2 y.	• 3.2 y (IQR 2.2-4.8)
Primary endpoint	<ul><li>Stroke</li><li>All-cause mortality</li></ul>	• Stroke	<ul><li>Stroke</li><li>Brain infraction</li></ul>
Device	<ul><li>Amplatzer</li><li>ASA for 6 mo.</li></ul>	• 11 diff. devices	<ul><li>HELEX or GSO</li><li>Plus antiplat. tx.</li></ul>
Inclusion criteria	<ul><li>18-60 y. of age</li><li>CS* (270 days prior)</li></ul>	<ul><li>16-60 y. of age</li><li>CS* (6 months prior)</li></ul>	<ul><li>18-59</li><li>CS* (180 days prior)</li></ul>
Outcome	<ul><li>Closure superior</li><li>HR 0.55 (0.31-0.999)</li></ul>	<ul><li>Closure superior to antiplatelet</li><li>HR 0.04 (0-0.27)</li></ul>	<ul><li>Closure superior (stroke prevention)</li><li>HR 0.23 (0.09-0.62)</li></ul>

ESC Council Stroke

# PFO and stroke – Assessing the evidence for closure

- "A PFO and a sizable interatrial shunt should no longer result in the categorization of a stroke as cryptogenic."<sup>1</sup>
- PFO closure patients < 60 y. of age and "cryptogenic stroke" are 30-50% less likely to have a stroke recurrence than patients with antiplatelet therapies (NNT ca. 20-40 for 1 stroke over 5 years).<sup>2</sup>
- Device-related complications: 2-3%;
  Atrial fibrillation after device implantation: 6%



Ropper AH. Tipping Point for Patent Foramen Ovale Closure. N Engl J Med. 2017;377:1093-1095.

<sup>2.</sup> Farb A, et al. Patent Foramen Ovale after Cryptogenic Stroke - Assessing the Evidence for Closure. N Engl J Med. 2017;377:1006-1009

# Suggested algorithm for CS and PFO

